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YANG, NELSON C				
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

**Office Action Summary****Application No.**

09/932,128

**Applicant(s)**

YGUERABIDE ET AL.

**Examiner**

Nelson Yang

**Art Unit**

1641

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 19 February 2010.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 49-52, 55, 71-73, 76, 80, 84, 166-172, 176-181 and 217-220 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 49-52, 55, 71-73, 76, 80, 84, 166-172, 176-181, 217-220 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

**DETAILED ACTION**

***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on February 19, 2010 has been entered.

***Response to Amendment***

2. Applicant's amendment of claims 49, 76, 80, 84, is acknowledged and has been entered.
3. Claims 49-52, 55, 71-73, 76, 80, 84, 166-172, 176-181, 217-220 are currently pending and under examination.

***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

5. Claims 49-52, 55, 166, 168-172, 218 are rejected under 35 U.S.C. 102(b) as being anticipated by Bendayan [Bendayan, Double immunocytochemical labeling applying the protein A-gold technique, 1982, J Histochem Cytochem, 30(1):pp.81-85].

With respect to claims 49, 218, Bendayan discloses making monodispersed colloidal gold suspensions with particles of  $125 \pm 2 \text{ \AA}$  in diameter which would be less than 5% variation, and further teach these particle suspensions are coupled with protein A allow for detection of antigenic sites that are present only at the surface of tissue sections, and that the specificity of particle diameters allow for multiple complexes with different diameters to be used for double labeling (p.82, col.2, p. 83, col.2). Although Bendayan do not specify that the gold particles are further coated with a surface coat of gold, the surface of the colloidal gold particles taught by Bendayan would also be gold, and therefore the particles would structurally be the same. Furthermore, the gold particles of Bendayan would inherently have a maximum absorption wavelength between 575 nm and 635 nm.

6. With respect to claims 50-52, 166, 168-172, Bendayan teaches particle suspensions comprising particles of  $125 \pm 2 \text{ \AA}$  in diameter that are coupled with protein A, which is a polymer and a protein, which does not interact with light in the visible region of the spectrum (p.82, col.2).
7. With respect to claim 55, Bendayan et al. teach spherical proteins (p.82, fig. 1).

### ***Claim Rejections - 35 USC § 103***

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

9. Claims 49-52, 55, 76, 166, 168-172, 176-179, 217-220 are rejected under 35 U.S.C. 102(b) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over Horisberger et al. [Horisberger et al., Colloidal gold granules as markers for cell surface receptors in the scanning electron microscope, 1975, Specialia, 31(10): pp. 1147-1149].

With respect to claims 49, 76, 218, Horisberger et al. discloses the use of a population of homogenous 40 nm gold particles coupled to Concanavalin A, and a second population of homogenous 80 nm particles coupled to anti-nonmannan antibodies (p. 1148, column 2). Since the populations of 40 nm gold particles and the populations of 80 nm are homogenous (p.1147, col.1), they would inherently have a variation of less 5 %, as homogenous populations of particles would comprise particles that have the same size, shape and mass. Horisberger further teaches that this allows for the visualization of two different antigens when used in conjunction with each other, allowing for a cytochemical double marking to be achieved (p.1148, col.1-2). For this reason, even if the population of gold particles disclosed by Horisberger did not inherently have a coefficient of variation in size of less than 5%, it would have been obvious to one of ordinary skill in the art for the particles within each population in the invention of Horisberger et al. to vary less than 5% in size or diameter, as this would potentially affect the labeling of different antigens from being clearly distinguished.

With respect to claims 50-52, Horisberger et al. discloses the use of a population of homogenous 40 nm gold particles coupled to Concanavalin A, and a second population of homogenous 80 nm particles coupled to anti-nonmannan antibodies, as well as a population of 60 nm gold particles coupled with anti-*C. utilis* antiserum, all which are polymers and proteins (p. 1148, column 1-2).

10. With respect to claim 55, Horisberger et al. teach spherical, oval, or ellipsoidal proteins (p.1148, fig. 4).
11. With respect to claims 166, 168-172, 176-179, Horisberger et al. discloses the use of a population of homogenous 40 nm gold particles coupled to Concanavalin A, and a second population of homogenous 80 nm particles coupled to anti-nonmannan antibodies, as well as a population of 60 nm gold particles coupled with anti-*C. utilis* antiserum, all which are polymers and proteins (p. 1148, column 1-2).
12. With respect to claim 217, Horisberger discloses homogenous 40 nm gold particles (p.1148, col.1-2), which would consist of a surface coating of about 20 nm thick.
13. With respect to claim 219, Horisberger et al. discloses the use of a second population of homogenous 80 nm particles coupled to anti-nonmannan antibodies, as well as a population of 60 nm gold particles coupled with anti-*C. utilis* antiserum, which would inherently have a maximum absorption wavelengths of from about 545 nm to about 575 nm (p. 1148, column 1-2).
14. With respect to claim 220, Horisberger et al. discloses the use of a second population of homogenous 80 nm particles coupled to anti-nonmannan antibodies, which would inherently have a maximum absorption wavelength of from about 555 nm (p. 1148, column 2).
15. Claims 49-52, 55, 76, 166-172, 176-179, 217-220 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nicoli et al. [US 4,647,544], published March 3, 1987, in view of Horisberger et al. [Horisberger et al., Colloidal gold granules as markers for cell surface receptors in the scanning electron microscope, 1975, Specialia, 31(10): pp. 1147-1149].

With respect to claims 49, 166, Nicoli et al. teach colloidal gold particles which have been coated with a variety of macromolecules such as avidin, lectins, IgG in the size range of 20

to 500 nm (column 15, lines 25-35), which would therefore have the inherent feature of maximum wavelength absorption from 525 nm to about 635 nm, as evidenced by applicant's own specification (see para. 254, table 2). Although Nicoli et al. do not specify that the gold particles are further coated with a surface coat of gold, the surface of the colloidal gold particles taught by Nicoli et al. would also be gold, and therefore the particles would structurally be the same. These particles are found in homogenous immunoassays where analytes are detected using optical interference and specifically a Bragg scattering peak (column 5, lines 58-65). Nicolo et al. fail to teach that the coefficient of variation in size of the population of particles is less than 5%.

Horisberger, however, teaches the use of a population of homogenous 40 nm gold particles coupled to Concanavalin A, and a second population of homogenous 80 nm particles coupled to anti-nonmannan antibodies (p. 1148, column 2). Since the populations of 40 nm gold particles and the populations of 80 nm are homogenous (p.1147, col.1), they would have a variation of less 5 %, as homogenous populations of particles would comprise particles that have the same size, shape and mass. Horisberger further teaches that this allows for the visualization of two different antigens when used in conjunction with each other, allowing for a cytochemical double marking to be achieved (p.1148, col.1-2). For this reason, it would further have been obvious to one of ordinary skill in the art for the particles within each population in the invention of Roth to vary less than 5% in size or diameter, and this would potentially affect the labeling of different antigens from being clearly distinguished.

Furthermore although neither Nicoli et al. or Horisberger et al. do not specify that the particles have a maximum absorption wavelengths of from about 525 nm to about 635 nm, this would be an inherent feature of the gold particles taught by Nicoli et al. and Roth, as the particles

have diameters that fall within the ranges disclosed by applicant that would result in a maximum absorption wavelengths of from about 525 nm to about 635 nm.

Therefore, it would have been obvious for the microspheres of Nicoli et al. to have precise size ranges varying no more than 5%, as this would allow for the visualization of two different antigens when used in conjunction with a second population of monodisperse particles of a different size. It would further have been obvious for the particles have to a maximum absorption wavelengths of from about 525 nm to about 635 nm through normal optimization procedures known in the art.

16. With respect to claims 50-52, Nicoli et al. teach colloidal gold particles coated with avidin and IgG (column 15, lines 25-35). Although Nicoli et al. do not specifically recite that proteins do not significantly interact with light in the visible region of the spectrum, this property is inherent in proteins, and therefore would be inherent in the particles of Nicoli et al. and Horisberger et al.

17. With respect to claim 55, the particles taught by Nicoli et al. are spherical (fig. 4C).

18. With respect to claim 76, Nicoli et al. teach colloidal gold particles which have been coated with a variety of macromolecules such as avidin, lectins, IgG in the size range of 20 to 500 nm (column 15, lines 25-35)

19. With respect to claim 167, Nicoli et al. teach different specific antibodies for binding to different antigens (column 23, lines 42-55).

20. With respect to claims 168-172, Nicoli et al. teach colloidal gold particles which have been coated with a variety of macromolecules such as avidin, lectins, IgG in the size range of 20 to 500 nm (column 15, lines 25-35),



21. With respect to claims 176-179, 217-220, Nicoli et al. teach colloidal gold particles which have been coated with a variety of macromolecules such as avidin, lectins, IgG in the size range of 20 to 500 nm (column 15, lines 25-35), Horisberger et al. further discloses the use of a population of homogenous 40 nm gold particles coupled to Concanavalin A, which would comprise particles with a gold surface coating about 20 nm thick, and a second population of homogenous 80 nm particles coupled to anti-nonmannan antibodies, as well as a population of 60 nm gold particles coupled with anti-*C. utilis* antiserum, all which are polymers and proteins (p. 1148, column 1-2).

22. Claims 71-72 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bendayan [Bendayan, Double immunocytochemical labeling applying the protein A-gold technique, 1982, J Histochem Cytochem, 30(1):pp.81-85] in view of Rembaum et al. [US 4,929,400], published May 29, 1990.

With respect to claims 71-72, Bendayan teach the invention as discussed above, but fail to explicitly teach that the gold particles comprise a magnetic or ferroelectric material.

Rembaum et al., however, teach microspheres created from polymers, proteins, waxes, starches, glasses, magnetic, and metals to impart various different properties to the particles (column 3, lines 40-50, column 4, lines 35-50), and having precise size range with diameters below 1000 Angstroms (column 8, lines 41-54, lines 55-69). Rembaum et al. further teach that the microspheres may comprise magnetic material (column 4, lines 1-10), in order to allow for magnetic separation of analytes from a mixture (column 7, lines 35-60).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention for the particles of Bendayan to comprise magnetic material, so that analytes may be separated from a mixture magnetically, and to impart various different optical properties to the particles.

23. Claim 73 is rejected under 35 U.S.C. 103(a) as being unpatentable over Bendayan [Bendayan, Double immunocytochemical labeling applying the protein A-gold technique, 1982, J Histochem Cytochem, 30(1):pp.81-85] in view of Rembaum et al. [US 4,929,400], and in view of Siiman et al. [US 5,552,086], filed September 9, 1993.

With respect to claim 73, Bendayan teach the invention as discussed above, but fail to explicitly teach that the gold particles comprise a magnetic or ferroelectric material or silver.

Rembaum et al., however, teach microspheres created from polymers, proteins, waxes, starches, glasses, magnetic, and metals to impart various different properties to the particles (column 3, lines 40-50, column 4, lines 35-50), and having precise size range with diameters below 1000 Angstroms (column 8, lines 41-54, lines 55-69). Rembaum et al. further teach that the microspheres may comprise magnetic material (column 4, lines 1-10), in order to allow for magnetic separation of analytes from a mixture (column 7, lines 35-60).

Siiman et al. further disclose that using microstructural gold or silver bumps on microspheres, gold and silver coated particles can be distinguished from each other (column 3, lines 28-50), as they would be with finely dispersed pure gold or silver particles of the same size (column 4, lines 5-15).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention for the particles of Bendayan to comprise magnetic material and silver, as suggested

by Rembaum et al. and Siiman et al., so that analytes may be separated from a mixture magnetically, and to impart various different optical properties to the particles such that different populations of particles would be distinguishable from one another, thus allowing for additional means for the labeling of a greater number of different analytes and antigens.

24. Claims 71-72 are rejected under 35 U.S.C. 103(a) as being unpatentable over Horisberger et al. [Horisberger et al., Colloidal gold granules as markers for cell surface receptors in the scanning electron microscope, 1975, Specialia, 31(10): pp. 1147-1149] in view of Rembaum et al. [US 4,929,400], published May 29, 1990.

With respect to claims 71-72, Horisberger et al. teach the invention as discussed above, but fail to explicitly teach that the gold particles comprise a magnetic or ferroelectric material.

Rembaum et al., however, teach microspheres created from polymers, proteins, waxes, starches, glasses, magnetic, and metals to impart various different properties to the particles (column 3, lines 40-50, column 4, lines 35-50), and having precise size range with diameters below 1000 Angstroms (column 8, lines 41-54, lines 55-69). Rembaum et al. further teach that the microspheres may comprise magnetic material (column 4, lines 1-10), in order to allow for magnetic separation of analytes from a mixture (column 7, lines 35-60).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention for the particles of Horisberger et al. to comprise magnetic material, so that analytes may be separated from a mixture magnetically, and to impart various different optical properties to the particles.

25. Claims 73, 80, 84, is rejected under 35 U.S.C. 103(a) as being unpatentable over Horisberger et al. [Horisberger et al., Colloidal gold granules as markers for cell surface receptors in the scanning electron microscope, 1975, Specialia, 31(10): pp. 1147-1149] in view of Rembaum et al. [US 4,929,400], and in view of Siiman et al. [US 5,552,086], filed September 9, 1993.

With respect to claims 73, 80, 84, Horisberger et al. teach the invention as discussed above comprising a population of 40nm gold particles and a population of 80 nm gold particles (p.1147, col.1), but fail to explicitly teach that the gold particles comprise a magnetic or ferroelectric material or silver.

Rembaum et al., however, teach microspheres created from polymers, proteins, waxes, starches, glasses, magnetic, and metals to impart various different properties to the particles (column 3, lines 40-50, column 4, lines 35-50), and having precise size range with diameters below 1000 Angstroms (column 8, lines 41-54, lines 55-69). Rembaum et al. further teach that the microspheres may comprise magnetic material (column 4, lines 1-10), in order to allow for magnetic separation of analytes from a mixture (column 7, lines 35-60).

Siiman et al. further disclose that using microstructural gold or silver bumps on microspheres, gold and silver coated particles can be distinguished from each other (column 3, lines 28-50), as they would be with finely dispersed pure gold or silver particles of the same size (column 4, lines 5-15).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention for the particles of Horisberger et al. to comprise magnetic material and silver, as suggested by Rembaum et al. and Siiman et al., so that analytes may be separated from a mixture

magnetically, and to impart various different optical properties to the particles such that different populations of particles would be distinguishable from one another, thus allowing for additional means for the labeling of a greater number of different analytes and antigens.

26. Claims 180 and 181 are rejected under 35 U.S.C. 103(a) as being unpatentable over Horisberger et al. [Horisberger et al., Colloidal gold granules as markers for cell surface receptors in the scanning electron microscope, 1975, Specialia, 31(10): pp. 1147-1149] in view of Tarcha et al. [US 5,567,628], filed June 30, 1994.

With respect to claims 180, 181, Horisberger et al. discloses the invention as discussed above, comprising a population of 40 nm gold particles and a population of 80 nm gold particles coated with proteins, as discussed above, but fails to teach that the proteins comprising anti-biotin, anti-fluorescein or anti-digoxinin antibodies.

Tarcha et al., however teach the use of anti-biotin antibodies as a means for attaching biotinylated antibodies (column 23, lines 20-45), thus rendering the particles much more versatile.

Therefore it would have been obvious in the invention of Bendayan et al. to have particles comprising anti-biotin antibodies, as suggested by Tarcha et al., due to the greater versatility it provides the particles, allowing a greater variety of different antibodies to be attached.

### ***Response to Arguments***

27. Applicant's arguments filed February 19, 2010 have been fully considered but they are not persuasive. In particular, with respect to Bendayan [Bendayan, Double immunocytochemical

labeling applying the protein A-gold technique, 1982, J Histochem Cytochem, 30(1):pp.81-85], applicants argue that Bendayan discusses monodispersed colloidal gold of  $190 \pm 5 \text{ \AA}$ , and do not teach particles having a diameter between 40 nm and 140 nm. While the Office acknowledges that Bendayan discloses making a suspension of gold particles with a diameter of  $190 \pm 5 \text{ \AA}$ , the Office notes that Bendayan also discloses making a suspension of gold particles of  $125 \pm 2 \text{ \AA}$  in diameter, wherein the suspension is then coupled with protein A (p.82, col.2, also see fig.2A). Therefore, the claims as currently recited would read on the gold particles of Bendayan.

Applicant's arguments with respect to claims 49-52, 55, 76, 166-172, 176-179, 217, 218 under 35 U.S.C. 103(a) as being unpatentable over Nicoli et al. [US 4,647,544] in view of Bendayan [Bendayan, Double immunocytochemical labeling applying the protein A-gold technique, 1982, J Histochem Cytochem, 30(1):pp.81-85] have been considered but are moot in view of the new ground(s) of rejection.

### ***Conclusion***

28. No claims are allowed.
29. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nelson Yang whose telephone number is (571)272-0826. The examiner can normally be reached on 8:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mark Shibuya can be reached on (571)272-0806. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

30. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Nelson Yang/  
Primary Examiner, Art Unit 1641